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**CONFIRMATION NO. 6354**

[illegible]

**Anne Vachon Dougherty**  
**3173 Cedar Road**  
**Yorktown Heights, NY 10598**

Date Mailed: 04/19/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

**FILED UNDER 37 CFR 1.53(b)**

**Filing Date Granted**

**COPY OF PAPERS  
ORIGINALLY FILED**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

**The required item(s) identified below must be timely submitted to avoid abandonment:**

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

01 PG:105 2012.02.11

*A copy of this notice **MUST** be returned with the reply.*

Human Resources  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of : June 10, 2002

H.H. Chen, et al : Group Art No.: 2811

Serial No. 10/091,193 : Examiner: O. Nadav

Filed: March 5, 2002 : for IBM Corporation  
Anne Vachon Dougherty  
3173 Cedar Road  
Yorktown Heights, NY 10598

Title: SEMICONDUCTOR HIGH  
DIELECTRIC CONSTANT  
DECOUPLING CAPACITOR  
STRUCTURES AND PROCESS  
FOR FABRICATION

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ORIGINALLY FILED

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

2004 JUN 10 09 05 EST

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Application

Fee-Deposit Authorization

Declaration

Substitute Drawings

Acknowledgment Postcard

is being deposited with the United States Postal Service as first  
class mail in an envelope addressed to:

Commissioner of Patents  
Washington, DC 20231

on June 10, 2002.

Anne Vachon Dougherty

Anne Vachon Dougherty  
Signature of person mailing

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